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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sheldon P. Rothenberg, et al.

Examiner:

Zachary C. Howard

Serial No.:

10/534,303

Art Unit:

1646

Filed:

November 1, 2005

Docket:

15804

For:

ASSAY FOR AUTOANTIBODIES TO FOLATE RECEPTORS

Confirmation No.: 9810

Dated:

May 11, 2007

Mailstop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. §1.183

Sir:

This is a petition pursuant to 37 C.F.R. §1.183 respectfully requesting suspension of the rules and the waiving of the signature requirement for one of the inventors on the reexecuted Declaration being concurrently submitted herewith in connection with an amendment and petition under 37 C.F.R. §1.48(a) to add to the original named inventors in the above-captioned application.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mailstop Petitions, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

Dated: May 11, 2007

er I. Bernstein

490.09 OP

As set forth in the accompanying Amendment and Petition under 37 C.F.R. §1.48(a), one of the originally named inventors, namely Maria da Costa, has refused to reexecute a new Declaration as required by 37 C.F.R. §1.63 in which the additional inventor has been included. A redacted copy of Ms. Da Costa's express written refusal to sign is attached hereto, together with a check in the amount of \$400.00 to cover the requisite fee under 37 C.F.R. §1.17(f). Any additional charges may be charged to Deposit Account No. 19-1013/SSMP. A duplicate copy of this submission is enclosed.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

MAY 1 5 2007 BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AMENDMENT AND PETITION UNDER 37 C.F.R. §1.48(a) TO ADD TO ORIGINAL NAMED INVENTORS

Sir:

application:

This amendment and petition pursuant to 37 C.F.R. §1.48(a) is being filed to correct the original naming of inventor(s) which appears in the declaration filed on November 1, 2005.

Please add the following previously unnamed persons as inventors of this

CERTIFICATE OF MAILING UNDER 37 C.F.R. STOR (4) ESHAHI 69998991 10534303

I hereby certify that this correspondence is being deposited with the United States 130,00 fp Postal Service as first class mail in an envelope addressed to: Mailstop Petitions, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 11, 2007

Peter I. Bernstein

Edward V. Quadros

Accompanying this petition and amendment is a statement in accordance with 37 C.F.R. §1.48(a)(1) from said person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part; a declaration by each of the actual inventors as required by 37 C.F.R. §1.63. (37 C.F.R. §1.48 (a)(2)); and a check in the amount of \$130.00 to cover the requisite fee under 37 C.F.R. §1.17(i). Any additional charges may be charged to Deposit Account No. 19-1013/SSMP. A duplicate copy of this submission is enclosed.

It is to be noted that one of the originally named inventors, i.e. Maria da Costa, did not sign (and has refused to sign) the new declaration required by 37 C.F.R. §1.63.

Accordingly, accompanying this paper is a further Petition, pursuant to 37 C.F.R. §1.183, requesting that the signature requirement of Ms. Da Costa be waived.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

Edward V. Quadros

Accompanying this petition and amendment is a statement in accordance with 37 C.F.R. §1.48(a)(1) from said person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part; a declaration by each of the actual inventors as required by 37 C.F.R. §1.63. (37 C.F.R. §1.48 (a)(2)); and a check in the amount of \$130.00 to cover the requisite fee under 37 C.F.R. §1.17(i). Any additional charges may be charged to Deposit Account No. 19-1013/SSMP. A duplicate copy of this submission is enclosed.

It is to be noted that one of the originally named inventors, i.e. Maria da Costa, did not sign (and has refused to sign) the new declaration required by 37 C.F.R. §1.63.

Accordingly, accompanying this paper is a further Petition, pursuant to 37 C.F.R. §1.183, requesting that the signature requirement of Ms. Da Costa be waived.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

Page 2

From the information that you have provided to me in your letter, as well as my personal knowledge of the facts concerning this matter, I cannot support and, in fact, I strongly disagree with, the conclusions you have cited concerning the inventorship analysis regarding the patent application.

I believe that the facts as I have stated in this letter confirm that the Patent Application as submitted to the United States Patent and Trademark Office with Dr. Sheldon Rothenberg, Dr Maria da Costa and Mr Jeffrey Sequeira as the inventors should not be amended to include Dr. Quadros as an inventor and that The Research Foundation should follow through on the processing of this patent application as submitted.

Thank you.

Maria da Costa MD

Men da Corli

Sheldon Rothenberg. MD.



Maria da Costa, MD Sheldon Rothenberg MD 535 E. 86th Street New York, NY 10028

December 6, 2006

By Certified Mail - Return Receipt Requested

Mr. John Allen Assistant Vice President for Scientific Affairs SUNY Downstate Medical Center 450 Clarkson Avenue Box 129 Brooklyn, NY 11203-2098

Inventorship Analysis of "Assay for Autoantibodies to Folate Receptors," Research Foundation Case No. R1383-100; Patent Application Number PCT/US03/35960

Thank you for your letter dated November 7, 2006 regarding the above-referenced Inventorship Analysis and associated patent application, which was provided to me by Dr. Rothenberg on November 27, 2006.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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STATEMENT OF EDWARD V. QUADROS UNDER 37 C.F.R. §1.48(a)(1)

Sir:

- I, Edward V. Quadros declare and say that:
- (1) I have read the Petition to Add to Original Named Inventors under 37 C.F.R. §1.48(a) submitted with this statement;
- (2) I was originally omitted as a named co-inventor of the subject matter embodied in the U.S. Patent Application Serial No. 10/534,303 due to an error which occurred without any deceptive intention on my part.

DATED

EKN

DWARD V. QUADROS



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ASSAY FOR AUTOANTIBODIES TO THE FOLATE RECEPTORS

the specification of which					
(check one)					
[] is attached hereto.					
[x] was filed on November Application Serial No and was amended on		es Application	No. or	PCT	International

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37 Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 (a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Number	Country	Date of Filing	Priority Claimed
		Day/Month/Year	Under 35 U.S.C. §119
			☐ YES ☐ NO
			☐ YES ☐ NO
			☐ YES ☐ NO
	ne benefit under Title application(s) listed b		ection §119(e) of any United
60/424,965		November 8, 20	002
(Application Num	iber)	(Filing Date)	
(Application Num	iber)	(Filing Date)	
application(s), or States, listed belo not disclosed in the by the first parage to the United State patentability as de-	Section 365(c) of a bw and, insofar as the he prior United States raph of 35, United States tes Patent and Traden efined in Title 37, C	any PCT International applice subject matter of each of the sor PCT International applicates Code, Section 112, I acknowledge all information kers., Section 1.56 which the	tion 120 of any United States ration designating the United e claims of this application is ration in the manner provided mowledge the duty to disclose nown to me to be material to became available between the ernational filing date of this
Application Serial	No.	Filing Date	Status
Application Serial	l No.	Filing Date	Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

CUSTOMER NO. 00272

Address all telephone calls to Peter I. Bernstein at telephone no. 516-742-4343

Address all correspondence to Peter I. Bernstein, Scully, Scott, Murphy & Presser, P.C., 400 Garden City Plaza, Suite 300, Garden City, New York 11530

Full name of sole or first inventor	
Sheldon P. Rothenberg	
Sole or first inventor's signature	Date
Shelden Too meklend	det 20,2006
Residence	· · · · · · · · · · · · · · · · · · ·
535 E. 86th Street, New York, NY 10028	
Citizenship	
USA	
Post Office Address	
same as residence	
Full name of second inventor	
Maria da Costa	
Second inventor's signature	Date
Residence	
535 E. 86 th Street, New York, NY 10028	
Citizenship	
USA	
Post Office Address	
Same as residence	
Full name of third inventor	
Jeffrey Sequeira	
Third inventor's signature	Date 19/29/06
Residence	
2360 62 nd Street, Brooklyn, NY 11204	
Citizenship	
USA	
Post Office Address	
same as residence	

Full name of fourth inventor		
Edward V. Quadros		
Fourth inventor's signature	Date 10/20	106
Residence	\	
232 76th Street, Brooklyn, NY	11209	
Citizenship		
USA		
Post Office Address		
same as residence		